



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

CONFIRMATION NO. 2147

SERIAL NUMBER 09/844,198	FILING DATE 04/27/2001 RULE	CLASS 705	GROUP ART UNIT 2163	ATTORNEY DOCKET NO. 01-40068-US
-----------------------------	-----------------------------------	--------------	------------------------	------------------------------------

## APPLICANTS

Nicco Willis, Tuscon, AZ;  
 Laura Muggli, San Ramon, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/200,088 04/27/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 06/21/2001

\*\* SMALL ENTITY \*\*

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY AZ	SHEETS DRAWING 15	TOTAL CLAIMS 40	INDEPENDENT CLAIMS 4
--	---	------------------------	----------------------	--------------------	-------------------------

## ADDRESS

07066

## TITLE

Computer-implemented method and apparatus for adjusting the cost basis of a security

FILING FEE RECEIVED 575	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---